CONTRACTORS AND SUBCONTRACTORS
PRE-QUALIFICATION APPLICATION

Anaheim Union High School District will be soliciting bids for reconstruction and new construction on several of its facilities. It is estimated the construction costs for specific work will range from $4 to $13 million per facility.

As a condition of bidding and in accordance with the provisions of Section 20111.6 of the California Public Contract Code, the District requires that all prospective bidders, including, without limitation, General Contractors, Electrical, Mechanical, Plumbing and other qualifying licenses on certain projects, submit a completed prequalification questionnaire and financial statement on the forms supplied by the District.

Once submitted and approved, a Contractor’s Prequalification Application is valid for one year.

In order to bid on a project, the Contractor must submit a Prequalification Application for approval at least fifteen (15) working days prior to the bid opening date for a particular project or other date established by and at the discretion of the District’s Director of Purchasing and must be prequalified at least five (5) business days prior to the date fixed for the public opening of sealed bids. The District will notify the applicant if, in the District’s opinion, the applicant meets the prequalification requirements and may bid the project(s).

Please complete the attached questionnaire and return it to:

Erickson Hall Construction Company
ATTN: Tyler Ennis
500 Corporate Drive
Escondido, CA 92029

Bids for projects requiring prequalification will not be accepted if a Contractor’s prequalification statement is not on file with the District.

For information regarding prequalification please call (760) 803-3704
GENERAL INFORMATION

1. The Bidder (“Contractor”) shall complete the enclosed Pre-Qualification Application. If an explanation and/or additional sheets are required for any of the responses, please attach additional pages signed by the preparer and identify clearly which questions the attached page refer to.

2. “You” or “Your” as used herein refers to the Bidder’s firm and any of its officers, directors, shareholders, parties or principals.

3. Any statement which is proven to be false shall be grounds for immediate disqualification.

4. The District reserves the right to determine disqualification on the basis of information secured from any source(s).

5. Failure to complete all questions and provide all information requested within this pre-qualification application form shall be basis for disqualification.

6. Please be advised that all references are subject to verification.
PREQUALIFICATION APPLICATION

Anaheim Union High School District (“District”) has determined that all Contractors, including, without limitation, General Contractors, Electrical subcontractors, Mechanical Subcontractors and Plumbing Subcontractors, must be pre-qualified prior to submitting bids for all public works projects with a Project Contract Price of One Million Dollars ($1,000,000.00) or more. It is mandatory that all licensed Contractors who intend to submit bids complete this Prequalification Application, provide all materials requested herein, and be approved by the District to be on the Bidder’s list. No Bid will be accepted from Contractor and/or Electrical subcontractor, Mechanical Subcontractor and Plumbing Subcontractor that has failed to comply with these requirements. If two or more business entities submit a bid on a project as a Joint Venture, or expect to submit a bid as part of a Joint venture, each entity within the Joint Venture must be separately qualified to bid. Contractors are encouraged to submit pre-qualification packages as soon as possible, so that they may be notified of omissions of information to be remedied or of their pre-qualification status well in advance of the bid advertisement for any given project.

Answers to questions contained in the attached questionnaire, information about current bonding capacity, notarized statement from surety, and the most recent reviewed or audited financial statements, with accompanying notes and supplemental information, are required. The District will use these documents as basis for rating Contractors in respect to the size and scope of contracts upon which each Contractor is qualified to bid. Qualification will be awarded in three different categories: New Construction, Modernization, and Modernization with Science Classrooms. In addition, each Contractor will be qualified for projects based on the dollar value of the project. The District reserves the right to check other sources available. The District’s decision will be based on objective evaluation criteria and scorable questions. Not all questions in the questionnaire are scorable; some questions simply ask for information about the contractor firm’s structure, officers and history. Omission of requested information may result the Prequalification Application being deemed non-responsive.

1. **Prequalification of Bidders.**
The District requires from prospective bidders answers to questions contained in this Application including a complete statement of the prospective bidder’s financial ability and experience in performing public works, DSA projects. These documents will be the basis of rating bidders to determine whether a bidder is qualified to bid on the Project.

2. **Contents of Prequalification Application.**
A Prequalification Application consists of the following:

- Statement of Experience;
- Notarized Statement of Bondability;
- Notarized Statement of Insurability;
- Financial Statement and Accountant’s Release Letter;
- Prequalification Rating Questionnaire with required explanations.

3. **Submission of Completed Application.**
One fully completed Prequalification Application with all required documentation should be
submitted to the District in a sealed envelope labeled in the lower left-hand corner “CONFIDENTIAL– Prequalification Application” and submitted to:

Erickson Hall Construction Company
ATTN: Tyler Ennis
500 Corporate Drive
Escondido, CA 92029

4. **Questions Regarding Prequalification Application.**
   All questions regarding the Prequalification Application or Prequalification process must be submitted no later than 2:00 P.M. on March 13, 2018. Questions will only be accepted in writing and sent via fax or email to tennis@ericksonhall.com.

5. **Notarized Statement of Bondability.**
   The prospective bidder must attach a notarized statement from an admitted surety insurer authorized to issue bonds in the State of California which states the bidder’s current available bonding capacity. The surety must be an admitted surety in the State of California and have an A.M. Best rating of A- or better.

6. **Notarized Statement of Insurability.**
   The prospective bidder must attach a notarized statement from a qualified insurer which states the current insurability of Contractor. The contractor must show insurability of at least $1,000,000 per occurrence/$2,000,000 aggregate in Comprehensive General Liability Insurance. Notarized Statement of Insurability will be accepted by the District only if the insurer is: (i) A.M. Best rated A- or better; (ii) A.M. Best Financial Size Category VII or higher; and (iii) authorized under California law to transact business in the State of California and authorized to issue insurance policies in the State of California.

7. **Non-Responsiveness.**
   Each Prequalification Application shall include: (a) Statement of Experience; (b) Notarized Statement of Bondability; (c) Notarized Statement of Insurability; (d) Financial Statement and Accountant’s Release Letter; and (e) completed Prequalification Rating Questionnaire with required written explanations. Any Prequalification Application not containing the above-referenced requisite documents completed with all information required and bearing the signature of the Bidder’s duly authorized representative under penalty of perjury may render the Prequalification Application non-responsive. All information or responses of a prospective Bidder in its Prequalification Application and other documents accompanying the Prequalification Application shall be complete, accurate and true.

8. **Waiver of Irregularities.**
   The District reserves the right to waive minor irregularities and omissions in the information contained in the Prequalification Application submitted, to make all final determinations.

9. **Late Applications.**
   The District may refuse to grant prequalification where the requested information and
materials are not provided by the specified date and time. There is no appeal from a failure to submit an incomplete application or late application. The closing time for prequalification will not be changed to accommodate supplementation of an incomplete submission of an application, or a late submission of an application.

10. **Confidentiality.**
   The completed Prequalification Applications submitted by Contractors are not public records and are not open to public inspection. All information provided will be kept confidential to the extent permitted by law. However, the contents may be disclosed to third parties for purpose of verification, or investigation of substantial allegations, or in the appeal process. State law requires, however, that the names of Contractors applying for prequalification status shall be subject to disclosure, and the first page of the questionnaire will be used for that purpose.

11. **Notification of Prequalification Results.**
   Contractors will be notified by fax or e-mail of their prequalification rating. Prequalification approval will remain valid for one (1) calendar year, except that the District reserves the right during the calendar year to adjust, increase, limit, suspend or rescind the prequalification ratings based on subsequently learned information and after giving notice of the proposed action to the prequalified Bidder and affording the prequalified Bidder an opportunity to rebut any evidence used as a basis for disqualification and to present evidence to the District as to why the prequalified Bidder’s prequalification status should not be altered or rescinded.

12. **Post-Bid Determination of Responsibility.**
   While it is the intent of this Prequalification Application to assist the District in determining bidder responsibility prior to bid, neither the fact of prequalification, nor any prequalification rating, will preclude the District from a post-bid consideration and determination of whether a bidder has the quality, fitness, capacity and experience to satisfactorily perform the proposed work, and has demonstrated the requisite trustworthiness.

13. **Prequalification Requirements/Scoring.**
   To prequalify, each prospective bidder must meet or exceed the requirements outlined in the sections below:
   
i) **Pass/Fail Section**
   Part IV, Section I – Essential Requirements for Qualification. The questions within this section are evaluated utilizing pass or fail approach. Bidders that do not meet all requirements in this section will not be qualified to bid. Bidders receiving a fail score in this section will not be evaluated any further.

   ii) **Scoring Sections.** Part IV, Section II. Bidders must achieve a minimum score of 147 out of 197 possible on questions that are scored and the reference questionnaires contained in Part IV, Sections II and III. Note that meeting the minimum score on the scored sections does not guarantee prequalification as there are non-scored questions that will also be objectively evaluated.

   Part IV, Section II - Evaluation Criteria. The questions in this section are evaluated utilizing a points system. The points from this section will be added to the points from Part IV, Section III. There are 167 possible points in this section.
Part IV, Section III: Reference Questionnaire. The questionnaire will be used to evaluate the contractors past performance. The Contractor shall send this questionnaire to the owner references listed for each project and shall ensure that the three (3) completed questionnaires are sent directly from the references to Anaheim Union High School District. If more than three responses are received by the District the three (3) lowest scored questionnaires will be utilized in calculating the points from this section. A score of zero will be assigned for any questionnaires less than three (3) not received by the District, and a maximum score of ten (10) for each received questionnaire. The highest possible score for this part is thirty (30) Points.

14. **Appeal of Prequalification Rating.**

Where a timely and completed Prequalification Application results in a rating below that necessary to prequalify or a Contractor is deemed disqualified based upon the essential elements, an appeal can be made. An appeal is begun by the Contractor delivering notice to Tyler Ennis, Project Engineer, Erickson Hall Construction of its appeal to the decision with respect to its prequalification rating, no later than two (2) working days after the District provides notice to the Contractor of the Contractor prequalification status for the Project. The written appeal shall set forth in detail all grounds for the appeal, including without limitation all facts, supporting documentation, legal authorities and argument in support of the grounds for the appeal. Any matters not set forth in the written appeal may be deemed invalid. All factual contentions must be supported by competent, admissible and credible evidence.

Unless the Contractor submits a timely appeal, the Contractor waives any and all rights to challenge the decision of the District, whether by administrative process, judicial process or any other legal process or proceeding.

Upon receipt of a timely written appeal from Contractor, the District shall have five (5) working days within which to prepare and forward to Contractor a written response to Contractor’s Appeal which advises Contractor of the basis for the District’s prequalification determination. If Contractor disputes the District’s response, Contractor may submit to the District a written request for an appeal hearing within the two (2) working day period shall be deemed to have waived its right to an appeal hearing and shall also waive any and all rights to challenge the decision of the District, whether by administrative process, judicial process or any other legal process or proceeding.

If the Contractor gives the required notice of appeal and requests a hearing, the hearing shall be conducted so that it is concluded no later than five (5) business days after Public Entity’s receipt of the notice of appeal. The hearing shall be an informal process conducted by a panel to whom the District’s Board of Education has delegated responsibility to hear such appeals (the “Appeals Panel”). The Contractor will be given the opportunity to present information and present reasons in opposition to the rating. After the conclusion of the hearing, a decision will be rendered and the Contractor shall be notified in writing of the decision. It is the intention of...
the District that the date for the submission and opening of bids will not be delayed or postponed to allow for completion of an appeal process.

A Contractor may be found not pre-qualified for bidding on a specific public works contract to be let by the District, or on all contracts to be let by the District until the Contractor meets the District’s requirements. In addition, a Contractor may be found not pre-qualified for either:

(1) Omission of requested information or
(2) Falsification of information

Any appeal not conforming to the foregoing may be rejected by the District as invalid. The foregoing notwithstanding, Contractor’s waiver of an appeal hearing shall not render this appeal process invalid.
ANAHEIM UNION HIGH SCHOOL DISTRICT

PREQUALIFICATION APPLICATION

- GENERAL CONTRACTOR
- ELECTRICAL
- PLUMBING
- MECHANICAL
- OTHER QUALIFYING LICENCES

LICENSE CLASSIFICATION (Check all that apply and provide License No.):

<table>
<thead>
<tr>
<th>Classification</th>
<th>Description</th>
<th>License No.</th>
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<tbody>
<tr>
<td>B</td>
<td>General Contractor</td>
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<tr>
<td>C-4</td>
<td>Boiler, Hot-Water Heating &amp; Steamfitting</td>
<td></td>
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<tr>
<td>C-7</td>
<td>Low Voltage Systems</td>
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<td>C-10</td>
<td>Electrical</td>
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<td>C-16</td>
<td>Fire-Protection</td>
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<tr>
<td>C-20</td>
<td>Warm-Air Heating, Ventilating and Air Conditioning</td>
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<td>C-34</td>
<td>Pipeline</td>
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<td>C-36</td>
<td>Plumbing</td>
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<td>C-38</td>
<td>Refrigeration</td>
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<td>C-42</td>
<td>Sanitation</td>
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</tr>
<tr>
<td>C-43</td>
<td>Sheet Metal</td>
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</tr>
<tr>
<td>C-46</td>
<td>Solar</td>
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PART I. CONTACT INFORMATION

Firm Name: ________________________________ Check One:    ☐ Corporation
                                                      ☐ Partnership
                                                      ☐ Sole Prop.

(as it appears on license)

Contact Person (Name/Title): ________________________________

Address: _______________________________________________

Phone: _______________    Fax: _______________    E-Mail: _______________

(Mandatory Notification Fax)

If firm is a sole proprietor or partnership:

Owner(s) of Company ________________________________

Contractor’s License Classifications and License Numbers:

______________________________________________
PART II. STATEMENT OF EXPERIENCE

A. Current Organization and Structure of the Business

For Firms That Are Corporations:

1a. Date incorporated: _________
1b. Under the laws of what state: ______________
1c. Provide all the following information for each person who is either (a) an officer of the corporation (president, vice president, secretary, treasurer), or (b) the owner of at least ten per cent of the corporation’s stock.

<table>
<thead>
<tr>
<th>Name</th>
<th>Position</th>
<th>Years with Co.</th>
<th>% Ownership</th>
<th>Social Security #</th>
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1d. Identify every construction firm that any person listed above has been associated with (as owner, general partner, limited partner or officer) at any time during the last five years.

NOTE: For this question, “owner” and “partner” refer to ownership of ten per cent or more of the business, or 10 per cent or more of its stock, if the business is a corporation.

<table>
<thead>
<tr>
<th>Person’s Name</th>
<th>Construction Firm</th>
<th>Dates of Person’s Participation with Firm</th>
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For Firms That Are Partnerships:

1a. Date of formation: ______________
1b. Under the laws of what state: ______________
1c. Provide all the following information for each partner who owns 10 per cent or more of the firm.

<table>
<thead>
<tr>
<th>Name</th>
<th>Position</th>
<th>Years with Co.</th>
<th>% Ownership</th>
<th>Social Security #</th>
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1d. Identify every construction company that any partner has been associated with (as owner, general partner, limited partner or officer) at any time during the last five years.

NOTE: For this question, “owner” and “partner” refer to ownership of ten per cent or more of the business, or ten per cent or more of its stock, if the business is a corporation.
### For Firms That Are Sole Proprietorships:

1a. Date of commencement of business.  
1b. Social security number of company owner.  
1c. Identify every construction firm that the business owner has been associated with (as owner, general partner, limited partner or officer) at any time during the last five years. 

**NOTE:** For this question, “owner” and “partner” refer to ownership of ten per cent or more of the business, or ten per cent or more of its stock, if the business is a corporation.

<table>
<thead>
<tr>
<th>Person’s Name</th>
<th>Construction Company</th>
<th>Dates of Person’s Participation with Company</th>
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### For Firms That Intend to Make a Bid as Part of a Joint Venture:

1a. Date of commencement of joint venture.  
1b. Provide all of the following information for each firm that is a member of the joint venture that expects to bid on one or more projects:

<table>
<thead>
<tr>
<th>Name of firm</th>
<th>% Ownership of Joint Venture</th>
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### B. History of the Business and Organizational Performance

2. Has there been any change in ownership of the firm at any time during the last three years?

**NOTE:** A corporation whose shares are publicly traded is not required to answer this question.

- [ ] Yes  
- [ ] No  

If “yes,” explain on a separate signed page.
3. Is the firm a subsidiary, parent, holding company or affiliate of another construction firm? 
**NOTE:** Include information about other firms if one firm owns 50 per cent or more of another, or if an owner, partner, or officer of your firm holds a similar position in another firm.

☐ Yes ☐ No

If “yes,” explain on a separate signed page.

4. Are any corporate officers, partners or owners connected to any other construction firms? 
**NOTE:** Include information about other firms if an owner, partner, or officer of your firm holds a similar position in another firm.

☐ Yes ☐ No

If “yes,” explain on a separate signed page.

5. If your organization has conducted business under a name or name style different than your organization’s present name, identify all prior name(s) or name style(s):

____________________________________________________________________________
____________________________________________________________________________

6. How many years has your organization been in business in California as a contractor under your present business name and license number? _____ years

7. Was your firm in bankruptcy at any time during the last five years?

☐ Yes ☐ No

If “yes,” please attach a copy of the bankruptcy petition, showing the case number and the date on which the petition was filed, and a copy of the Bankruptcy Court’s discharge order, or of any other document that ended the case, if no discharge order was issued.

8. Your organization’s Federal Tax Identification Number: _________________________

9. State your firm’s gross revenues for each of the last three years:

__________________________  ____________________________  ____________________________

10. State your firm’s net revenues for each of the last three years:

__________________________  ____________________________  ____________________________

11. The Contractor has the following net worth, computed as total assets minus current liabilities:

   Contractor’s Total Assets:  $ ____________________________

   Contractor’s Current Liabilities:  < ____________________________ >

   Contractor’s Net Worth:  $ ____________________________

12. Bonding capacity: Provide documentation from your surety confirming your firm has sufficient bonding capacity for this Project and identifying the following:
Name of bonding company/surety: ________________________________

Name of surety agent, address and telephone number:

______________________________________________________________________________

Total bonding capacity: __________________________________________________________

13. List all other sureties (name and full address) that have written bonds for your firm during the last five years, including the dates during which each wrote the bonds:

______________________________________________________________________________

______________________________________________________________________________

14. In what type of construction does your firm specialize?

______________________________________________________________________________

C. Licenses

15. List all California construction license numbers, classifications and expiration dates of the California contractor licenses held by your firm:

______________________________________________________________________________

______________________________________________________________________________

______________________________________________________________________________

16. If any of your firm’s license(s) are held in the name of a corporation or partnership, list below the names of the qualifying individual(s) listed on the CSLB records who meet(s) the experience and examination requirements for each license.

______________________________________________________________________________

______________________________________________________________________________

______________________________________________________________________________

17. Has your firm changed names or license number in the past five years?

☐ Yes    ☐ No

If “yes,” explain on a separate signed page, including the reason for the change.

18. Has any owner, partner or (for corporations:) officer of your firm operated a construction firm under any other name in the last five years?

☐ Yes    ☐ No

If “yes,” explain on a separate signed page, including the reason for the change.

19. Has any CSLB license held by your firm or its Responsible Managing Employee (RME) or Responsible Managing Officer (RMO) been suspended within the last five years?

☐ Yes    ☐ No

If “yes,” please explain on a separate signed sheet.
D. Disputes

20. At any time in the last five years has your firm been assessed and paid liquidated damages after completion of a project under a construction contract with either a public or private owner?

☐ Yes  ☐ No

If yes, explain on a separate signed page, identifying all such projects by owner, owner’s address, the date of completion of the project, amount of liquidated damages assessed and all other information necessary to fully explain the assessment of liquidated damages.

21. In the last five years has your firm, or any firm with which any of your company’s owners, officers or partners was associated, been debarred, disqualified, removed or otherwise prevented from bidding on, or completing, any government agency or public works project for any reason?

NOTE: “Associated with” refers to another construction firm in which an owner, partner or officer of your firm held a similar position, and which is listed in response to question 1c or 1d on this form.

☐ Yes  ☐ No

If “yes,” explain on a separate signed page. State whether the firm involved was the firm applying for pre-qualification here or another firm. Identify by name of the company, the name of the person within your firm who was associated with that company, the year of the event, the owner of the project, the project and the basis for the action.

22. In the last five years has your firm been denied an award of a public works contract based on a finding by a public agency that your company was not a responsible bidder?

☐ Yes  ☐ No

If “yes,” explain on a separate signed page. Identify the year of the event, the owner, the project and the basis for the finding by the public agency.

***

NOTE: The following two questions refer only to disputes between your firm and the owner of a project. You need not include information about disputes between your firm and a supplier, another contractor, or subcontractor. You need not include information about “pass-through” disputes in which the actual dispute is between a sub-contractor and a project owner. Also, you may omit reference to all disputes about amounts of less than $50,000.

23. In the past five years has any claim against your firm concerning your firm’s work on a construction project been filed in court or arbitration?

☐ Yes  ☐ No

If “yes,” on separate signed sheets of paper identify the claim(s) by providing the project name, date of the claim, name of the claimant, a brief description of the nature of the claim, the court in which the case was filed and a brief description of the status of the claim (pending or, if resolved, a brief description of the resolution).

24. In the past five years has your firm made any claim against a project owner concerning work on a project or payment for a contract and filed that claim in court or arbitration?
If “yes,” on separate signed sheets of paper identify the claim by providing the project name, date of the claim, name of the entity (or entities) against whom the claim was filed, a brief description of the nature of the claim, the court in which the case was filed and a brief description of the status of the claim (pending, or if resolved, a brief description of the resolution).

25. At any time during the past five years, has any surety company made any payments on your firm’s behalf as a result of a default, to satisfy any claims made against a performance or payment bond issued on your firm’s behalf, in connection with a construction project, either public or private?
   □ Yes □ No
   If “yes,” explain on a separate signed page the amount of each such claim, the name and telephone number of the claimant, the date of the claim, the grounds for the claim, the present status of the claim, the date of resolution of such claim if resolved, the method by which such was resolved if resolved, the nature of the resolution and the amount, if any, at which the claim was resolved.

26. In the last five years has any insurance carrier, for any form of insurance, refused to renew the insurance policy for your firm?
   □ Yes □ No
   If “yes,” explain on a separate signed page. Name the insurance carrier, the form of insurance and the year of the refusal.

27. Within the last five years has there ever been a period when your firm had employees but was without workers’ compensation insurance or state-approved self-insurance?
   □ Yes □ No
   If “yes,” please explain the reason for the absence of workers’ compensation insurance on a separate signed page. If “No,” please provide a statement by your current workers’ compensation insurance carrier that verifies periods of workers’ compensation insurance coverage for the last five years. (If your firm has been in the construction business for less than five years, provide a statement by your workers’ compensation insurance carrier verifying continuous workers’ compensation insurance coverage for the period that your firm has been in the construction business.)

E. Criminal Matters and Related Civil Suits

28. Has your firm or any of its owners, officers or partners ever been found liable in a civil suit or found guilty in a criminal action for making any false claim or material misrepresentation to any public agency or entity?
   □ Yes □ No
   If “yes,” explain on a separate signed page, including identifying who was involved, the name of the public agency, the date of the investigation and the grounds for the finding.

29. Has your firm or any of its owners, officers or partners ever been convicted of a crime involving any federal, state, or local law related to construction?
   □ Yes □ No
If “yes,” explain on a separate signed page, including identifying who was involved, the name of the public agency, the date of the conviction and the grounds for the conviction.

30. Has your firm or any of its owners, officers or partners ever been convicted of a federal or state crime of fraud, theft, or any other act of dishonesty?
   [ ] Yes  [ ] No
   If “yes,” identify on a separate signed page the person or persons convicted, the court (the county if a state court, the district or location of the federal court), the year and the criminal conduct.

F. Bonding

31. If your firm was required to pay a premium of more than one per cent for a performance and payment bond on any project(s) on which your firm worked at any time during the last three years, state the percentage that your firm was required to pay. You may provide an explanation for a percentage rate higher than one per cent, if you wish to do so.

32. During the last five years, has your firm ever been denied bond coverage by a surety company, or has there ever been a period of time when your firm had no surety bond in place during a public construction project when one was required?
   [ ] Yes  [ ] No
   If yes, provide details on a separate signed sheet indicating the date when your firm was denied coverage and the name of the company or companies which denied coverage; and the period during which you had no surety bond in place.

G. Compliance with Occupational Safety and Health Laws and with Other Labor Legislation Safety

33. Has CAL OSHA cited and assessed penalties against your firm for any “serious,” “willful” or “repeat” violations of its safety or health regulations in the past five years?
   NOTE: If you have filed an appeal of a citation, and the Occupational Safety and Health Appeals Board has not yet ruled on your appeal, you need not include information about it.
   [ ] Yes  [ ] No
   If “yes,” attached a separate signed page describing the citations, including information about the dates of the citations, the nature of the violation, the project on which the citation(s) was or were issued, the amount of penalty paid, if any. If the citation was appealed to the Occupational Safety and Health Appeals Board and a decision has been issued, state the case number and the date of the decision.

34. Has the federal Occupational Safety and Health Administration cited and assessed penalties against your firm in the past five years?
   NOTE: If you have filed an appeal of a citation and the Appeals Board has not yet ruled on your appeal, or if there is a court appeal pending, you need not include information about the citation.
35. Has the EPA or any Air Quality Management District or any Regional Water Quality Control Board cited and assessed penalties against either your firm or the owner of a project on which your firm was the contractor, in the past five years?

NOTE: If you have filed an appeal of a citation and the Appeals Board has not yet ruled on your appeal, or if there is a court appeal pending, you need not include information about the citation.

☐ Yes ☐ No

If “yes,” attach a separate signed page describing each citation.

36. How often do you require documented safety meetings to be held for construction employees and field supervisors during the course of a project?

37. Within the last five years has there ever been a period when your firm had employees but was without workers’ compensation insurance or state-approved self-insurance?

☐ Yes ☐ No

If “yes,” please explain the reason for the absence of workers’ compensation insurance on a separate signed page. If “No,” please provide a statement by your current workers’ compensation insurance carrier that verifies periods of workers’ compensation insurance coverage for the last five years. (If your firm has been in the construction business for less than five years, provide a statement by your workers’ compensation insurance carrier verifying continuous workers’ compensation insurance coverage for the period that your firm has been in the construction business.)

H. Prevailing Wage and Apprenticeship Compliance Record

38. Has there been more than one occasion during the last five years in which your firm was required to pay either back wages or penalties for your own firm’s failure to comply with the state’s prevailing wage laws?

NOTE: This question refers only to your own firm’s violation of prevailing wage laws, not to violations of the prevailing wage laws by a subcontractor.

☐ Yes ☐ No

If “yes,” attach a separate signed page or pages, describing the nature of each violation, identifying the name of the project, the date of its completion, the public agency for which it was constructed; the number of employees who were initially underpaid and the amount of back wages and penalties that you were required to pay.

39. During the last five years, has there been more than one occasion in which your own firm has been penalized or required to pay back wages for failure to comply with the federal Davis-Bacon prevailing wage requirements?

☐ Yes ☐ No

If “yes,” attach a separate signed page or pages describing the nature of the violation,
identifying the name of the project, the date of its completion, the public agency for which it was constructed; the number of employees who were initially underpaid, the amount of back wages you were required to pay along with the amount of any penalty paid.

40. Provide the **name, address and telephone number** of the apprenticeship program (approved by the California Apprenticeship Council) from whom you intend to request the dispatch of apprentices to your company for use on any public work project for which you are awarded a contract by *[Public Entity]*.

____________________________________________________________________________________________________

____________________________________________________________________________________________________

41. If your firm operates its own State-approved apprenticeship program:
   (a) Identify the craft or crafts in which your firm provided apprenticeship training in the past year.

____________________________________________________________________________________________________

(b) State the year in which each such apprenticeship program was approved, and attach evidence of the most recent California Apprenticeship Council approval(s) of your apprenticeship program(s).

____________________________________________________________________________________________________

(c) State the number of individuals who were employed by your firm as apprentices at any time during the past three years in each apprenticeship and the number of persons who, during the past three years, completed apprenticeships in each craft while employed by your firm.

____________________________________________________________________________________________________

____________________________________________________________________________________________________

42. At any time during the last five years, has your firm been found to have violated any provision of California apprenticeship laws or regulations, or the laws pertaining to use of apprentices on public works?

   **NOTE:** You may omit reference to any incident that occurred prior to January 1, 1998, if the violation was by a subcontractor and your firm, as general contractor on a project, had no knowledge of the subcontractor’s violation at the time they occurred.

   □ Yes □ No
If “yes,” provide the date(s) of such findings, and attach copies of the Department’s final decision(s).

PART III. CONTRACTOR’S PERFORMANCE/EXPERIENCE

Using the forms attached hereto (Attachments 1 and 2), Contractor shall provide the following information:

1. Contractor shall identify and provide information about its six (6) most recently completed public works projects and its three (3) largest completed private projects within the last five (5) years. Names and references must be current and verifiable.

2. Contractor shall identify and provide information about the K-12 modernization construction projects that involved classroom modernizations completed by your organization within the past five (5) years sufficient to satisfy the Bidder Experience Qualification requirement of one (1) or more completed DSA-approved K-12 modernizations with a combined total at least fifteen (15) modernized individual Classrooms.

3. Contractor shall identify and provide information about all construction projects your organization currently has in progress.

* * * * * *

CONTRACTOR CERTIFICATION

I, the undersigned, certify that I am duly authorized to execute this Contractor Certification on behalf of applicant. I further certify and declare that I have read all the foregoing answers to this prequalification questionnaire and know their contents. The matters stated in the questionnaire answers are true of my own knowledge and belief, except as to those matters stated on information and belief, and as to those matters I believe them to be true. I acknowledge that if the matters stated in the answers to this Prequalification Questionnaire are determined by the District to be false or untrue, Applicant may be deemed disqualified and may further be precluded from applying for prequalification with District for a period of one year. I declare under penalty of perjury under the laws of the State of California, that the foregoing is true and correct.

Dated: _________________

________________________________________
Signature

________________________________________
Printed Name

________________________________________
Title
ATTACHMENT 1
CONSTRUCTION PROJECTS COMPLETED

Contractor Name: ________________________________________________________________

Project Name: ________________________________________________________________

Location: ________________________________________________________________

Owner: ________________________________________________________________

Owner Contact (name, address, current phone number and e-mail address):

Project Type: ☐ New Construction ☐ Modernization ☐ Classroom Modernization
☐ Science Room Modernization

Procurement: ☐ General Contractor ☐ Multi-Prime ☐ Lease/Leaseback ☐ Design-Build

Contractor’s Role: ☐ General Contractor ☐ Subcontractor: Trade ___________________________

Architect or Engineer (name, company name, current phone number and e-mail address):

Construction Manager (name, company name, current phone number and e-mail address):

Description of Project, Scope of Work Performed:

<table>
<thead>
<tr>
<th>Completed Projects</th>
</tr>
</thead>
<tbody>
<tr>
<td>Original Contract Price:</td>
</tr>
<tr>
<td>Total Adjusted Contract Price:</td>
</tr>
<tr>
<td>Dollar Value of Work Performed by Contractor:</td>
</tr>
<tr>
<td>Original Duration:</td>
</tr>
<tr>
<td>Date of Completion and Actual Duration</td>
</tr>
<tr>
<td>Time Extensions Granted (no. of days):</td>
</tr>
<tr>
<td>Included Modernization of #___ Classrooms</td>
</tr>
</tbody>
</table>
ATTACHMENT 2
CONSTRUCTION PROJECTS IN PROGRESS

Contractor Name: ____________________________________________________________

Project Name: ____________________________________________________________________

Location: __________________________________________________________________________

Owner: __________________________________________________________________________

Owner Contact (name, address, current phone number and e-mail address):
____________________________________________________________________________________

Project Type: ☐ New Construction ☐ Modernization ☐ Classroom Modernization
☐ Science Room Modernization

Procurement: ☐ General Contractor ☐ Multi-Prime ☐ Lease/Leaseback ☐ Design-Build

Contractor’s Role: ☐ General Contractor ☐ Subcontractor. Trade ____________________________

Architect or Engineer (name, company name, current phone number and e-mail address):
____________________________________________________________________________________

Construction Manager (name, company name, current phone number and e-mail address):
____________________________________________________________________________________

Description of Project, Scope of Work Performed:
____________________________________________________________________________________

<table>
<thead>
<tr>
<th>Projects in Progress</th>
</tr>
</thead>
<tbody>
<tr>
<td>Original Contract Price:</td>
</tr>
<tr>
<td>Value of Change Orders to date:</td>
</tr>
<tr>
<td>Value of Work to be Performed by Contractor:</td>
</tr>
<tr>
<td>Planned Completion Date:</td>
</tr>
<tr>
<td>Current Scheduled Completion Date:</td>
</tr>
<tr>
<td>Time Extensions Granted (no. of days):</td>
</tr>
<tr>
<td>Percent Complete:</td>
</tr>
<tr>
<td>Included Modernization of #__ Classrooms</td>
</tr>
</tbody>
</table>
CONTRACTOR'S INSURANCE INFORMATION

Name of insurance company(ies) your Firm has utilized over the past five (5) years (not broker or agency):

<table>
<thead>
<tr>
<th>Company Name</th>
<th>Address</th>
<th>&quot;Best&quot; rating(s)</th>
<th>Number of years</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

Address of those insurance company(ies):

<table>
<thead>
<tr>
<th>Address</th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
</tr>
</tbody>
</table>

"Best" rating(s) for those insurance company(ies):

Name of broker/agent:

<table>
<thead>
<tr>
<th>Name</th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
</tr>
</tbody>
</table>

Address of broker/agent:

<table>
<thead>
<tr>
<th>Address</th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
</tr>
</tbody>
</table>

Telephone number of broker/agent:

E-mail of broker/agent:

Contractor's current insurance limits for the following types of coverage:

<table>
<thead>
<tr>
<th>Coverage Type</th>
<th>Limit Type</th>
<th>Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td>Commercial General Liability</td>
<td>Combined Single Limit (per occurrence)</td>
<td>$</td>
</tr>
<tr>
<td></td>
<td>Combined Single Limit (aggregate)</td>
<td>$</td>
</tr>
<tr>
<td>Product Liability &amp; Completed Operations</td>
<td>(aggregate)</td>
<td>$</td>
</tr>
<tr>
<td></td>
<td>(per occurrence)</td>
<td>$</td>
</tr>
<tr>
<td>Automobile Liability - Any Auto</td>
<td>Combined Single Limit (aggregate)</td>
<td>$</td>
</tr>
<tr>
<td>Automobile Liability - Any Auto</td>
<td>Combined Single Limit (per occurrence)</td>
<td>$</td>
</tr>
<tr>
<td>Employers' Liability</td>
<td></td>
<td>$</td>
</tr>
<tr>
<td>Builder's Risk (Course of Construction)</td>
<td></td>
<td>$</td>
</tr>
</tbody>
</table>

Workers' Compensation Experience Modification Rate for the past five (5) premium years:

<table>
<thead>
<tr>
<th>Year</th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>(1)</td>
<td>(2)</td>
</tr>
<tr>
<td>(4)</td>
<td>(5)</td>
</tr>
</tbody>
</table>
PART IV, SECTION I. ESSENTIAL REQUIREMENTS FOR QUALIFICATION

Contractor will be immediately disqualified if the answer to any of questions 1 through 9 is “no.”
Contractor will be immediately disqualified if the answer to any of questions 10 through 18 is “yes.”
Contractor will be immediately disqualified from modernization projects involving classroom remodels if the answer to question 19 is “no.”
Contractor will be immediately disqualified from modernization projects involving science classrooms if the answer to question 20 is “no.”

NOTE: ESSENTIAL REQUIREMENTS 1 AND 2 APPLY TO GENERAL CONTRACTORS ONLY.

1. Has your firm completed two (2) public works projects with a contract price of at least One Million Dollars ($1,000,000.00) that were subject to review, approval, and inspection by the California Department of the General Services, Division of State Architect within the last five (5) years?
   - Yes
   - No

2. Does your firm have a minimum of three (3) years experience in public school/community college construction as a prime general contractor?
   - Yes
   - No

NOTE: ESSENTIAL REQUIREMENTS 3 AND 4 APPLY TO SUB CONTRACTORS ONLY.

3. Has your firm completed two (2) public works projects, either as a Prime Contractor or a subcontractor, with a contract price of at least Two Hundred Fifty Thousand Dollars ($250,000.00) in your license classification that were subject to review, approval, and inspection by the California Department of the General Services, Division of State Architect within the last five (5) years?
   - Yes
   - No

4. Does your firm have a minimum of two (2) years experience in public school/community college construction as a licensed trade contractor in one of the following CSCLB Classifications: Electrical, Mechanical or Plumbing?
   - Yes
   - No

5. Contractor possesses a valid and current California Contractor’s license for the Project for which it intends to submit a bid?
   - Yes
   - No

6. Contractor has a liability insurance policy with a policy limit of at least $1,000,000 per occurrence and $2,000,000 aggregate from an insurer with an A.M. Best rated A- or better; A.M. Best Financial Size Category VII or higher; authorized under California law to transact business in the State of California and authorized to issue insurance policies in the State of California?
   - Yes
   - No
7. Contractor has current workers’ compensation insurance policy as required by the Labor Code or is legally self-insured pursuant to Labor Code section 3700, et. seq.?
   - Yes
   - No
   - Contractor is exempt from this requirement, it has no employees

8. Have you attached a notarized statement from an admitted surety insurer (approved by the California Department of Insurance) authorized to issue bonds in the State of California having an A.M. Best rating of A- or better which states: (a) that your current bonding capacity is sufficient for the project for which you seek pre-qualification if you are seeking pre-qualification for a single project; or (if you are seeking pre-qualification valid for a year) (b) your current available bonding capacity?
   - Notarized statement must be from the surety company, not an agent or broker.
   - Yes
   - No

9. Have you attached your latest copy of a reviewed or audited financial statement with accompanying notes and supplemental information.
   - Yes
   - No

10. Is the Contractor’s current Workers Compensation Insurance EMR higher than 1.25?
    - Yes
    - No

11. Has your contractor’s license been revoked at any time in the last five years?
    - Yes
    - No

12. Has a surety firm completed a contract on your behalf, or paid for completion because your firm was terminated for cause or default by the project owner within the last five (5) years?
    - Yes
    - No

13. At the time of submitting this Prequalification form, is your firm ineligible to bid on or be awarded a public works contract, or perform as a subcontractor on a public works contract, pursuant to either Labor Code section 1777.1 or Labor Code section 1777.7?
    - Yes
    - No

14. At any time during the last five years, has your firm or any of its owners or officers been convicted of a crime involving the awarding of a contract of a government construction project, or the bidding or performance of a government contract?
    - Yes
    - No

15. Has any CSLB license held by your firm or its Responsible Managing Employee (RME) or Responsible Managing Officer (RMO) been suspended within the last five years?
    - Yes
    - No

16. In the last five years has your firm, or any firm with which any of your company’s owners, officers or partners was associated, been debarred, disqualified, removed or otherwise
prevented from bidding on any government agency or public works project for any reason?

NOTE: “Associated with” refers to another construction firm in which an owner, partner or officer of your firm held a similar position, and which is listed in response to question 1c or 1d on this form.

☐ Yes    ☐ No

17. Is your firm currently the debtor in a bankruptcy case?

☐ Yes    ☐ No

18. At any time during the last five years, has your firm or any of its owners, officers or partners ever been found liable in a civil suit or found guilty in a criminal action for making any false claim or material misrepresentation to any public agency or entity?

☐ Yes    ☐ No

19. Has your firm completed construction on one (1) or more K-12 public works modernization projects that cumulatively required modernization of at least fifteen (15) individual classrooms?

☐ Yes    ☐ No

20. Has your firm completed construction on one (1) or more K-12 public works modernization projects that cumulatively required modernization of at least eight (8) individual science classrooms?

☐ Yes    ☐ No
PART IV, SECTION II: EVALUATION CRITERIA

1. How many years has your organization been in business in California as a contractor under your present business name and license number? ______ years
   5 points for 6 years or more       4 points for 5 years
   3 points for 4 years             2 points for 3 years or less

2. Was your firm or any predecessor to your firm, or any of its owners, officers or partners at any time during the last five years in bankruptcy? (This question refers only to a bankruptcy action that was not described in answer to question 7, above)
   □ Yes    □ No
   If “yes,” please attach a copy of the bankruptcy petition, showing the case number and the date on which the petition was filed, and a copy of the Bankruptcy Court’s discharge order, or of any other document that ended the case, if no discharge order was issued.
   10 points for “No”
   0 points for “Yes”

3. Has any CSLB license held by your firm or its Responsible Managing Employee (RME) or Responsible Managing Officer (RMO) been suspended within the last five years?
   □ Yes    □ No
   5 points for “No”
   0 points for “Yes”

4. At any time in the last five years has your firm been assessed and paid liquidated damages after completion of a project under a construction contract with either a public or private owner?
   □ Yes    □ No
   If yes, explain on a separate signed page, identifying all such projects by owner, owner’s address, the date of completion of the project, amount of liquidated damages assessed and all other information necessary to fully explain the assessment of liquidated damages.
   10 points for “No”
   4 points for “Yes” indicating one project with liquidated damages of more than $50,000
   0 points for “Yes” indicating two projects or more projects with liquidated damages of more than $50,000
   0 points for any other answer

5. In the last five years has your firm, or any firm with which any of your company’s owners, officers or partners was associated, been debarred, disqualified, removed or otherwise prevented from bidding on, or completing, any government agency or public works project for any reason?
   NOTE: “Associated with” refers to another construction firm in which an owner, partner or officer of your firm held a similar position, and which is listed in response to question 1c or 1d on this form.
   □ Yes    □ No
   5 points for “No”
   0 points for “Yes”
6. In the last five years, has your firm been denied an award of a public works contract based on a finding by a public agency that your company was not a responsible bidder?

☐ Yes  ☐ No

10 points for “No”
0 points for “Yes”

7. Has your organization ever refused to sign a construction contract awarded to it?

☐ Yes  ☐ No

If so, on a separate attachment, state the following: (i) describe each such contract; (ii) the owner’s name, address, telephone number and contact person; and (iii) the circumstances of your refusal to sign such contract.

10 points for “No”
0 points for “Yes”

8. Has your organization ever failed to complete or been precluded from completing a construction contract or been terminated for convenience?

☐ Yes  ☐ No

If so, on a separate attachment, state the following: (i) describe each such contract; (ii) the owner’s name, address, telephone number and contact person; and (iii) the circumstances of your failure to complete such contract.

10 Points for “No”
7 points for “Yes” if termination for convenience or if precluded due to events beyond Contractor’s control
0 Points for “Yes” indicating any other reason

* * * * *

NOTE: The following two questions refer only to disputes between your firm and the owner of a project. You need not include information about disputes between your firm and a supplier, another contractor, or subcontractor. You need not include information about “pass-through” disputes in which the actual dispute is between a sub-contractor and a project owner. Also, you may omit reference to all disputes about amounts of less than $50,000.

If the firm’s average gross revenue for the last three years was less than $50 million, scoring is as follows:

10 points for either “No” or “Yes” indicating 1 such instance.
5 points for “Yes” indicating 2 such instances.
0 points for “Yes” if more than 2 such instances.

If your firm’s average gross revenue for the last three years was more than $50 million, scoring is as follows:

10 points for either “No” or “Yes” indicating 1, 2, or 3 such instances.
5 points for “Yes” indicating either 4 or 5 such instances.
0 points for “Yes” if more than 5 such instances.
9. In the past five years has your firm made any claim against a project owner concerning work on a project or payment for a contract and filed that claim in court or arbitration?
   □ Yes  □ No
   Number of instances: ___

10. In the past five years has any claim against your firm concerning your firm’s work on a construction project been filed in court or arbitration?
    □ Yes  □ No
    Number of instances: ___

11. At any time during the past five years, has any surety company made any payments on your firm’s behalf as a result of a default, to satisfy any claims made against a performance or payment bond issued on your firm’s behalf in connection with a construction project, either public or private?
    □ Yes  □ No
    5 points for either “No” or “Yes” indicating 1 such claim.
    3 points for “Yes” indicating no more than 2 such claims
    Subtract five points for “Yes” if more than 2 such claims

12. In the last five years has any insurance carrier, for any form of insurance, refused to renew the insurance policy for your firm?
    □ Yes  □ No
    5 points for “No”
    3 points for “Yes” indicating 1 such instance
    0 points for “Yes” or if 2 or more such instances

13. Are there any judgments, orders, decrees or arbitration awards pending, outstanding against your organization or any of the officers, directors, employees or principals of your organization?
    □ Yes  □ No
    If so, describe each such judgment, order, decree or arbitration award and the present status of the satisfaction or discharge thereof.
    10 points for “No”
    0 points for “Yes”

14. Has your firm or any of its owners, officers or partners ever been convicted of a crime involving any federal, state, or local law related to construction?
    □ Yes  □ No
    5 points for “No”
    0 points for “Yes”

15. Has your firm or any of its owners, officers or partners ever been convicted of a federal or state crime of fraud, theft, or any other act of dishonesty?
    □ Yes  □ No
    5 points for “No”
    0 points for “Yes”
16. Was your firm required to pay a premium of more than one percent for a performance and payment bond on any project(s) on which your firm worked at any time during the last three years, state the percentage that your firm was required to pay.

☐ Yes  Bond Premium Paid: ____________  ☐ No

3 points if the rate is no more than one percent
0 points if the rate is more than one percent

17. During the last five years, has your firm ever been denied bond coverage by a surety company, or has there ever been a period of time when your firm had no surety bond in place during a public construction project when one was required?

☐ Yes  ☐ No

5 points for “No”
0 points for “Yes”

18. During the last five years, has a claim or other demand been made against your organization’s California Contractors License Bond?

☐ Yes  ☐ No

5 points for “No”
0 points for “Yes”

19. During the last five years, has a complaint been filed against your organization’s California Contractors License with the California Contractors State License Board?

☐ Yes  ☐ No

5 points for “No”
0 points for “Yes”

20. Within the last five years has there ever been a period when your firm had employees but was without workers’ compensation insurance or state-approved self-insurance?

☐ Yes  ☐ No

5 points for “No”
3 points for “Yes” indicating 1 such instance
0 points for “Yes” if 2 or more such instances

21. Has there been more than one occasion during the last five years in which your firm was required to pay either back wages or penalties for your own firm’s failure to comply with the state’s prevailing wage laws or with the federal Davis-Bacon prevailing wage requirements?

NOTE: This question refers only to your own firm’s violation of prevailing wage laws, not to violations of the prevailing wage laws by a subcontractor.

☐ Yes  ☐ No

If “yes,” attach a separate signed page or pages, describing the nature of each violation, identifying the name of the project, the date of its completion, the public agency for which it was constructed;
the number of employees who were initially underpaid and the amount of back wages and penalties that you were required to pay.

5 points for “No”
3 points for “Yes” indicating no more than 3 such instances
0 points for “Yes” indicating 4 or more such instances

*   *   *   *   *

NOTE: The scoring for the following four (4) questions shall be as follows:

If the firm’s average gross revenues for the last three years was less than $50 million, scoring is as follows:

5 points for either “No” or “Yes” indicating 1 such instance.
3 points for “Yes” indicating 2 such instances.
0 points for “Yes” if more than 2 such instances.

If the firm’s average gross revenues for the last three years was more than $50 million, scoring is as follows:

5 points for either “No” or “Yes” indicating 1, 2, or 3 such instances.
3 points for “Yes” indicating either 4 or 5 such instances.
0 points for “Yes” if more than 5 such instances.

22. Has CAL OSHA cited and assessed penalties against your firm for any “serious,” “willful” or “repeat” violations of its safety or health regulations in the past five years?
   Note: If you have filed an appeal of a citation and the appropriate appeals Board has not yet ruled on your appeal, you need not include information about it.
   □ Yes   □ No

23. Has the federal Occupational Safety and Health Administration cited and assessed penalties against your firm in the past five years?
   Note: If you have filed an appeal of a citation and the appropriate appeals Board has not yet ruled on your appeal, you need not include information about it.
   □ Yes   □ No
   If yes, attach a separate signed page describing each citation.

24. Has the EPA or any Air Quality Management District or any Regional Water Quality Control Board cited and assessed penalties against either your firm or the owner of a project on which your firm was the contractor, in the past five years?
   NOTE: If you have filed an appeal of a citation and the Appeals Board has not yet ruled on your appeal, or if there is a court appeal pending, you need not include information about the citation.
   □ Yes   □ No
   If “yes,” attach a separate signed page describing each citation.
25. At any time during the last five years, has your firm been found to have violated any provision of California apprenticeship laws or regulations, or the laws pertaining to use of apprentices on public works?

☐ Yes  ☐ No.

If yes, provide the date(s) of such findings, and attach copies of the Department's final decision(s).

26. List your firm's Experience Modification Rate (EMR) (California workers' compensation insurance) for each of the past three premium years:

**NOTE: An Experience Modification Rate is issued to your firm annually by your workers’ compensation insurance carrier.**

- Current year: __________
- Previous year: __________
- Year prior to previous year: ______

If your EMR for any of these three years is or was 1.00 or higher you may, if you wish, attach a letter of explanation.

- **4 points for three-year average EMR of .95 or less**
- **3 points for three-year average of EMR of more than .95 but no more than 1.10**
- **2 points for three-year average of EMR of more than 1.10 but no more than 1.25**
- **0 points for any other EMR**

* * * * * * *

The individual executing this Prequalification Application on behalf of the Contractor is duly and fully authorized to execute this Prequalification Application and hereby certifies and declares:

I have read all of the responses to this Prequalification Application and the supporting documentation attached hereto and know their contents. The matters stated in the Prequalification Application responses and supporting documentation are true of my own knowledge and belief, except as to those matters stated on information and belief, and as to those matters I believe them to be true. I declare under penalty of perjury under the laws of the State of California, that the foregoing is correct.

Dated: __________

____________________________________________
(Name)

Title: _______________________________________

Anaheim Union High School District
PART IV, SECTION III: REFERENCE/QUESTIONNAIRE

Contractor: ____________________________

Contractor shall complete the following information about its largest completed public projects within the last five years. Please indicate if DSA project. Once complete, send to listed owner for completion of the questionnaire and submission of all pages to the District. District will verify all Reference Questionnaires submitted.

Project Name: ____________________________

Location: ____________________________

Owner: ____________________________

Owner Contact (name and current phone number, fax and e-mail):

____________________________________

____________________________________

To Be Completed by Contractor and Verified By Owner:

Architect or Engineer: ____________________________

Architect or Engineer Contact (name and current phone number, fax and e-mail):

____________________________________

____________________________________

Construction Manager (name and current phone number, fax and e-mail):

____________________________________

____________________________________

Description of Project, Scope of Work Performed:

____________________________________

____________________________________

Original Contract Price: ____________________________

Total Adjusted Contract Price (including change orders): ____________________________

Original Duration: ____________________________ Actual Duration: __________

Time Extensions Granted (number of days): ____________________________
REFERENCE QUESTIONNAIRE (con’t)

Contractor Name: ________________________________

Reference: Please confirm Project information identified on preceding page and then rate the contractor from 0 to 10, with 0 being the least and 10 being the highest, using the following questions. Once completed please sign and send all pages directly to Erickson Hall Construction, Attention: Tyler Ennis, 500 Corporate Drive, Escondido, CA 92026.

1. How did the contractor perform in preventing or resolving any stop notices or liens? 0 1 2 3 4 5 6 7 8 9 10

2. Did the contractor provide adequate personnel? 0 1 2 3 4 5 6 7 8 9 10

3. How was the contractor’s performance in adequately planning, coordinating, and implementing the work? 0 1 2 3 4 5 6 7 8 9 10

4. How cooperative was the contractor in working with the Owner? 0 1 2 3 4 5 6 7 8 9 10

5. Rate the contractor’s timeliness in providing reports and paperwork, including change order paperwork? 0 1 2 3 4 5 6 7 8 9 10

6. Was the contractor timely in completing the project? 0 1 2 3 4 5 6 7 8 9 10

7. How did the contractor perform in mitigating or preventing change orders on the job? 0 1 2 3 4 5 6 7 8 9 10

8. How fair was the contractor in pricing changes? 0 1 2 3 4 5 6 7 8 9 10

9. How has the contractor been in taking care of warranty items? 0 1 2 3 4 5 6 7 8 9 10

10. How fair was the contractor when dealing with claims? 0 1 2 3 4 5 6 7 8 9 10

11. Rate the effectiveness of the safety program provided by the contractor. 0 1 2 3 4 5 6 7 8 9 10

12. Rate the contractor’s timeliness in paying their suppliers/subcontractors. 0 1 2 3 4 5 6 7 8 9 10
13. How proactive was the contractor in resolving problems? 0 1 2 3 4 5 6 7 8 9 10

14. How would you rate the contractor's overall performance? 0 1 2 3 4 5 6 7 8 9 10

15. Would you work with this contractor again? 0 1 2 3 4 5 6 7 8 9 10

* * * * * * *

Dated: ____________  Printed Name: _________________________________
Title: _________________________________

________________________________________
(Signature)
**PART V: FINANCIAL STATEMENT / ACCOUNTANT’S RELEASE LETTER**

The certificate of a licensed Certified Public Accountant will be required in all cases. A suggested form of a certificate for both an audit and a review is attached hereto. One of these may be used if appropriate. It will be acceptable for the accountant to submit a certificate in the accountant’s own words. However, such qualifications shall not be so extensive as to nullify the value of the statement or its usefulness to the District.

Accountant's Release Letter will be required. Anaheim Union High School District will verify financial statement validity with responsible accountant.

**Term of Financial Statements.** A Contractor's financial information shall be valid until the date shown is more than one year old from the time the prequalification application is approved. Statements will be held on file until the financial information is fifteen (15) months old at which time it will be destroyed. All applicable portions of the form should be completed with schedules attached if the space provided does not suffice.
FINANCIAL INFORMATION

COMPLETE THIS CERTIFICATE FOR AN AUDIT OF FINANCIAL STATEMENT:

STATE OF: ________________________________

We have examined the Financial Statement of _______ as of _______, a copy of which is attached hereto. Our examination was made in accordance with generally accepted auditing standards, and accordingly included such tests of the accounting records and such other auditing procedures as we considered necessary in the circumstances.

In our opinion, the accompanying financial statement included on pages ____ to ____ inclusive, sets forth fairly the financial condition of _______________ as of _______, in conformity with generally accepted accounting principles.

__________________________  ________________________________
Type Name of Firm                     Accountant must sign here

__________________________  ________________________________
Telephone No.                       License No.

COMPLETE THIS CERTIFICATE FOR A REVIEW ONLY OF FINANCIAL STATEMENT:

I (we) have reviewed the accompanying financial statement of _______________ as of _______, a copy of which is attached hereto. The information included in the financial statement is the representation of the management of the above firm.

Based on my (our) review with the exception of the matter(s) described in the following paragraphs(s), I am (we are) not aware of any material modifications that should be made to the accompanying financial statements in order for them to be in conformity with generally accepted accounting principles.

__________________________  ________________________________
Type Name of Firm                     Accountant must sign here

__________________________  ________________________________
Telephone No.                       License No.

(Note this review consists principally of inquiries of management and appropriate analytical procedures applied to this financial data. It is substantially less in scope than an examination in accordance with generally accepted auditing standards, the objective of which is the expression of opinion regarding the financial statements taken as a whole. Accordingly, we have not expressed such an opinion.)

Special note to Accountant:

The above Certificates of Accountant shall not be made by any individual who is in the regular employ of the individual, partnership or corporation submitting the statement; nor by any individual who is a member of the firm with more than a ten percent financial interest.
ACCOUNTANT'S RELEASE LETTER

By signing the form below, I authorize Anaheim Union High School District to contact our company’s licensed accounting firm to verify our most recent audited or reviewed financial statement. I understand the financial statement is confidential information and is not open to public inspection.

__________________________
Name

__________________________
Title

__________________________
Company Name

__________________________
Date

Verified by Anaheim Union High School District

__________________________  ______________________
Name                        Date